

SIGNATURE PHASE FINAL ACQUISITION ACTION APPROVAL

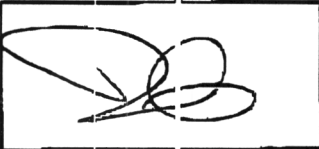
AQCK AO: Mr DillardPHONE: 588-7014TITLE: Rockwell Collins Partnership Corporate Contract

NUMBER

03-JA-033

This Final Acquisition Action Approval has completed the Coordination Phase. It is ready for signature. Please call the SAF/AQCK action officer if you have any questions. You may also call the AQCK action officer for pick up.

ROUTING SEQUENCE

OFFICE	SAF/AQCK	SAF/AQC	SAF/AQ
INITIALS		<i>mme</i>	<i>m/L</i>
DATE	18 Nov 03	18 Nov 03	20 Nov 03

SUMMARY MEMO

TO: *Assistant Secretary of the Air Force (Acquisition)*

SUBJECT: J&A for Rockwell Collins Partnership Corporate Contract

PURPOSE: Obtain SAF/AQ approval by signature on the Justification and Approval (J&A) at Tab 1.

Description: This individual Justification and Approval (J&A) citing the authority at 10 USC 2304(c) (1), Only One Responsible Source, authorizes the use of other than full and open competition procedures. This J&A contemplates more than one contract action. The primary purpose of the initial contract action is to provide a contract vehicle to implement the Strategic Partnership Agreement (SPA) between WR-ALC and Rockwell Collins (RC). The initial contract issued will provide a ten-year Indefinite Delivery/Indefinite Quantity (ID/IQ) ordering vehicle in support of DoD fielded and future system requirements. Placing the majority of sole source RC requirements on one corporate contract vehicle will leverage DoD's buying power and provide maximum support capability through continuous contract coverage without interruptions in support necessitated by individual procurements. Separate individual contracts for requirements such as major modifications and research and development efforts may be issued if determined to provide a more appropriate contractual vehicle. The estimated value of this class J&A for all contract actions is 3.6 Billion dollars over a ten-year period. Only those supplies and/or services applicable to the Rockwell Collins (RC)/OEM Systems listed at Attachment A of the J&A, that are sole source to RC during the life of this contract, will be acquired under the contract. Each action will classify the supply/service in one of the following categories: Supplies, Repair Services, Engineering Services, Logistics Sustainment Modification/Services, and Data.

Dollar Value: \$3.6 Billion

Issues and Resolution: None

Recommendation:

ASAF (A) sign the J&A at Attachment 1.

Action Officer:

Mr. Milton Dillard
SAF/AQCK
588-7014



DAVID S. GLOWACKI, Col USAF

Chief, Contract Operations

Deputy Assistant Secretary (Contracting)

Assistant Secretary (Acquisition)

Date: 17 Dec 03

Attachments:

- 1) FAAA
- 2) 02-JA-033
- 3) GCQ Coord



DEPARTMENT OF THE AIR FORCE
HQ WARNER ROBINS AIR LOGISTICS CENTER (AFMC)
ROBINS AIR FORCE BASE GEORGIA

AUG 04 2003

MEMORANDUM FOR AFPEO/IV

FROM: WR-ALC/LSK
380 Richard Ray Boulevard, Suite 104
Robins AFB GA 31098-1038

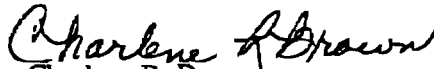
**SUBJECT: Class Justification and Approval (J&A) For Other Than Full and Open Competition
For Rockwell Collins Partnership Corporate Contract**

1. In accordance with FAR Part 6.304(a)(4), attached is a J&A that requires coordination of the Air Force Program Executive Officer for Services prior to final approval by ASAF(A). This J&A contemplates more than one contract action. The primary purpose of the initial contract action is to provide a contract vehicle to implement the Strategic Partnership Agreement (SPA) between WR-ALC and Rockwell Collins (RC). The initial contract issued will provide a ten-year Indefinite Delivery/Indefinite Quantity (ID/IQ) ordering vehicle in support of DoD fielded and future system requirements. Placing the majority of sole source RC requirements on one corporate contract vehicle will leverage DoD's buying power and provide maximum support capability through continuous contract coverage without interruptions in support necessitated by individual procurements. Separate individual contracts for requirements such as major modifications and research and development efforts may be issued if determined to provide a more appropriate contractual vehicle. The estimated dollar value of this class J&A for all contract actions is \$3.6 billion over a ten-year period. Only those supplies and/or services applicable to the Rockwell Collins (RC)/OEM Systems listed at Attachment A of the J&A, that are sole source to RC during the life of this contract, will be acquired under the contract.

2. Please review the attached J&A package. Affixing your signature on the coordination and approval cover page will indicate coordination. The entire package should then be forwarded to SAF/AQCK for final approval.

3. SAF/AQCK will provide you with notice of receipt of the J&A package and final approval. Upon receipt of the final approval, please forward the approved J&A package directly to this office for inclusion in the contract file.

4. Questions may be directed to this office or to the contracting officer: Marsha Denning, DSN 468-6003.


Charlene R. Brown
Chief, Contracting Division
Combat Electronics Systems Directorate
DSN 468-7315

Atch:
J&A

cc: WR-ALC/PKPB

**JUSTIFICATION REVIEW DOCUMENT
FOR OTHER THAN FULL AND OPEN COMPETITION**

AFMC CONTRACTING ACTIVITY: WR-ALC/LSKC

PROGRAM/PROGRAM ELEMENT: Rockwell Collins Partnership Corporate Contract

TYPE PROGRAM: PEO Services

PROGRAM COST ESTIMATE: \$3.6 Billion

AUTHORITY: 10 U.S.C. 2304(c)(1), as implemented by FAR 6.302-1

TYPE J&A: class

CONTRACTING OFFICER Mariha R. Denning 12 June 2003
Mariha R. Denning
WR-ALC/LSKC
DSN: 468-7121 COM: 478-926-7121
Date Signed

PROGRAM MANAGER Michael S. Myers 12 June 03
Michael S. Myers
WR-ALC/LSACA
DSN: 468-7614 COM: 478-926-7614
Date Signed

BUYING OFFICE
CONTRACTING OFFICIAL Charlene R. Brown 19 June 03
Charlene R. Brown
WR-ALC/LSK
DSN: 468-7519 COM: 478-926-7519
Date Signed

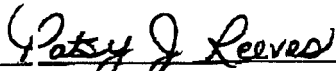
PRODUCT DIRECTOR
COMBAT ELECTRONIC
SYSTEMS Bruce A. Litchfield 26 June 03
Bruce A. Litchfield, Col USAF
WR-ALC/LS
DSN: 468-3363 COM: 478-926-3363
Date Signed

LOCAL LEGAL REVIEWER Dennis A. Walker 30 June 03
Dennis Walker
WR-ALC/JAN
DSN: 468-3961 COM: 478-926-3961
Date Signed

COMPETITION ADVOCATE Kathy B. Cames 19 June 03
Kathy B. Cames
WR-ALC/PKP
DSN: 468-2604 COM: 478-926-2604
Date Signed

SENIOR CENTER

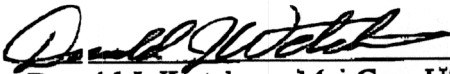
CONTRACTING OFFICIAL


Patsy J. Reeves, SES
WR-ALC/PK
DSN: 468-3916

25 Jul 03
Date Signed

COM: 478-926-3916

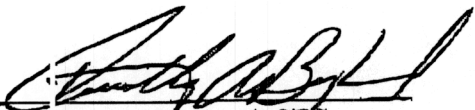
WR-ALC COMMANDER


Donald J. Wetekam, Maj Gen, USAF
WR-ALC/CC
DSN: 468-2121

29 Jul 03
Date Signed

COM: 478-926-2121

PEO, AF PROGRAM
EXECUTIVE OFFICER
FOR SERVICES

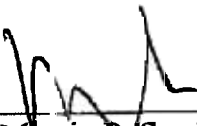

Timothy A. Beyland, SES
AFFEO/SV
DSN: 425-7190

18 Nov 03
Date Signed

COM: 703-588-7190

APPROVED:

Assistant Secretary
of the Air Force
(Acquisition):


Marvin R. Sambur
Assistant Secretary of the Air Force (Acquisition)
DSN: 227-6361

20 Nov 03
Date Signed

COM: 703-697-6361

JUSTIFICATION REVIEW DOCUMENT

JUSTIFICATION FOR OTHER THAN FULL AND OPEN COMPETITION

I. Contracting Organization:

Marsha R. Denning
Contracting Officer
WR-ALC/LSKC
Combat Electronic Systems Directorate
380 Richard Ray Blvd
Robins AFB, GA 31098-1638

II. Description of Action

A. This Justification and Approval (J&A) authorizes the use of other than full and open competition procedures for the Department of Defense (DoD) to acquire various support and sustainment supplies and services that are sole source to Rockwell Collins (RC) and their subsidiaries. Supplies and services are required from RC to support communications and/or navigational systems for which they are the Original Equipment Manufacturer (OEM). Attachment A provides a list of current systems that require OEM support by RC.

B. This class J & A contemplates more than one contract action. The initial contract issued utilizing the authority set forth herein will provide a ten-year Indefinite Delivery/Indefinite Quantity (ID/IQ) ordering vehicle in support of DoD fielded and future system requirements. Placing the majority of sole source RC requirements on one corporate contract vehicle will leverage DoD's buying power and provide maximum support capability through continuous contract coverage without interruptions in support necessitated by individual procurements. Separate individual contracts for requirements such as major modifications and research and development efforts may be issued if determined to provide a more appropriate contractual vehicle. The use of incentives will be considered for all actions to ensure best efforts in meeting or exceeding cost, schedule, performance criteria and improve capability for the warfighter through an enhanced corporate business arrangement. The estimated dollar value of this class J&A for all contract actions is \$3.6 billion over a ten-year period.

III. Description of Supplies/Services

A. **General Scope:** Only those supplies/services that are sole source to RC/OEM can be ordered under resulting contracts. Each action will classify the supply/service in one of the following categories: Supplies, Repair Services, Engineering Services, Logistics Sustainment Modifications/Services, and Data.

B. Supplies: The items to be purchased include various categories of electronic items, such as receivers, transmitters, microcircuits, and circuit card assemblies. Items will have a current Acquisition Method Code (AMC) of 3, 4 or 5 assigned in accordance with the DOD Spare Parts Breakout Program, as outlined in DFARS, Appendix E. This Appendix sets forth procedures to screen and code parts on the basis of available reprourement data and potential sources of supply.

C. Repair Services: Repair items will be identified by the Sole Source Repair Selection Process (SSRSP), which incorporates 50/50 and Source of Repair Assignment Process statutory requirements. The SSRSP will ensure that only sole source contract repair items are placed on this contract. Research of repair sources will consist of AFMC 761 screening of DOD vendor breakout, reviews of procurement history, reviews of the D043 Master Item Identification Control System, and reviews of the Execution and Prioritization of Repair Support System (EXPRESS) as appropriate. If an NSN is organically repaired but there are capacity problems hampering support of the warfighter, the appropriate system Integrated Product Team (IPT) will determine if the item should become dual-sourced. Attachment C titled "Repair Item Screening Sheet" shall be utilized for documenting this process and justifying sole source determinations for contract coverage. The Contracting Officer from the requiring activity will approve the sole source determination for all repairs under \$500K. The local Competition Advocate from the requiring activity will approve the sole source determination for all repairs over \$500K. The estimated dollar value for repair will reflect the forecast quantity and estimated dollar value for the life of the contract.

D. Engineering Services: The scope of this effort includes engineering services pertinent to only those systems or supplies that have been previously identified as sole source RC. Engineering efforts may include, but are not limited to, identifying and correcting obsolete parts and vanishing vendors issues; performing engineering analyses; prototyping; performing reliability and maintainability improvements; and providing engineering data. As the designer, developer and manufacturer of the items to be supported, RC is uniquely qualified to provide the government with engineering services for their respective OEM items. All contract actions issued against this category will be documented by the requiring activity and approved in accordance with agency procedures.

E. Logistics Sustainment Modifications/Services: These services include a wide variety of RC logistics support activities unique to their system(s) and expertise. Services include, but are not limited to Advisory and Assistant Services (A & AS); management and professional support services; studies, evaluations, and analysis; technical services; upgrades/modifications to fielded systems; definitive partnering agreements; and any other service required for logistics support/sustainment. All contract actions issued against this category will be documented by the requiring activity and approved in accordance with agency procedures.

F. Data: Data deliverables may be procured to support the RC systems. Data procured in conjunction with task orders for Engineering Services and Logistics Sustainment Services will be documented in the corresponding sole source justification and approved in accordance with agency procedures, as required.

G. Budget Estimate: A budget estimate was developed utilizing a five-year spend analysis, three year ABC projections and inputs from Program Offices. The following table outlines the estimated value of these acquisitions by supply/service category and fiscal year, and includes a 3 percent cost escalation amortized over the full 10-year contract period.

\$ In millions

Supply/Service	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10	FY 11	FY 12	FY 13	TOTALS
Supplies	\$200	\$200	\$100	\$100	\$100	\$200	\$200	\$200	\$ 50	\$ 50	\$1,400
Repair Services	\$200	\$150	\$100	\$100	\$100	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 900
Engineering Services	\$100	\$100	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 600
Logistics Sustainment/Modification Services	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 50	\$ 500
Data	\$ 20	\$ 20	\$ 20	\$ 20	\$ 20	\$ 20	\$ 20	\$ 20	\$ 20	\$ 20	\$ 200
TOTALS	\$570	\$520	\$320	\$320	\$320	\$370	\$370	\$370	\$220	\$220	\$3,600

IV. Statutory Authority

10 USC 2304(c)(1), as implemented by FAR 6.302-1, Only One Responsible Source and No Other Supplies or Services Will Satisfy Agency Requirements.

V. Applicability of Authority

RC is the only responsible source with the necessary design and manufacturing data capable of providing supplies and services that are required from the OEM. The cost of procuring data in pursuit of establishing a competitive source would result in a substantial duplication of cost to the Government that would likely not be recovered. All of the AMC/AMSCs assigned to the items to be included in these contracts are sole source and the majority fall into one of the following categories: 3H – The Government does not have sufficient, accurate, or legible data to procure the part from other than the current source; 3P – Rights to use the data are not owned by the Government and cannot be purchased, developed, or otherwise obtained; or 3R – The Government does not own the data and it is uneconomical to buy the data or to reverse engineer the part. Previous acquisitions demonstrate that although they were advertised/synopsized, other contractors have not shown an interest in providing these supplies and services.

VI. Efforts to Obtain Competition

All contract actions will be synopsisized on the Federal Business Opportunities (FedBizOpps) web page. RC may have a need to qualify new sources of supply for certain parts. It is anticipated that the sources having an interest in subcontracting may be identified through the FedBizOpps. If a supply item's competitive posture changes during the contract-ordering period, the item will be removed from the contract. For repair services, an item will be removed from the contract once another source is identified and becomes qualified. For other efforts, all interested sources will be considered for award.

Fair and Reasonable Costs

The procuring contracting officer(s) will ensure that each item and service acquired is purchased at a fair and reasonable cost to the government. Negotiations will be conducted using certified cost and pricing data for FAR Part 15 acquisitions. Other than cost and pricing data and/or price analysis will be utilized for FAR Part 12 acquisitions. The data collected will be analyzed utilizing Defense Contract Management Agency and Defense Contract Audit Agency expertise as required.

Market Research

A. Each contract action will be individually reviewed to ensure that RC is the only qualified source that can meet the requirement. Market research will consist of AFMC 761 screening for DoD vendor breakout, reviews of procurement history, and reviews of the DO43 Master Item Identification Control System. All supplies and services will have sole source AMCs, passed the Sole Source Repair Selection Process defined above or obtained required approval to ensure that RC is the only responsible source and that no other contractor can satisfy the requirement.

B. The AFMC 761 screening process assigns the appropriate AMC in accordance with DFARS Appendix E. This process provides the procuring activity with the documentation to either purchase the item through competitive solicitation, or indicates the lack of documentation needed for a competitive solicitation. The process has many steps and is accomplished by personnel completely skilled and knowledgeable in either the electronic or mechanical field, and in engineering drawings and related documentation with which to develop, document, and assign an accurate AMC. There are many possible impediments which impact competitive solicitation. The technician reviews the data on an item and may find that there are: missing, incomplete, or illegible engineering drawings; proprietary rights by the original manufacturer; restrictive distribution codes; source control drawings; missing loft data; missing or illegible MYLARS (drawings on a stable based material); inadequately defined test requirements; and/or unavailable master tooling. These inadequacies restrict or prohibit competitive solicitation.

C. Requirements for other services and support will be carefully reviewed by the appropriate IPT in pursuit of competition. Market research techniques may include, but are not limited to, review of procurement history, source sought synopsis and formal/informal contacts with industry. Market research will be documented in the corresponding sole source determination and approved in accordance with agency procedures, as required.

D. Some of the supplies and services to be included have been determined to be commercial. Therefore, this class J&A action contemplates contract(s) with both FAR Part 12 and FAR Part 15 terms and conditions. Further screening for additional commercial items/services will be made prior to issuance of individual delivery/task orders.

IX. Other Facts

At the present time, RC is the only approved source. Failure to obtain the supplies and services requested in this action will adversely impact the DoD mission, and will further increase high priority requirements due to extended lead times for processing individual contractual actions.

X. Interested Sources

At the present time the only known source is RC and its subsidiaries. Procedures will be in place to ensure that only sole source supplies and services will be ordered against the contract. If at any time during the ordering period, a supply/repair service is deemed competitive, the item/service will be removed as stated in paragraph VI.

Steps to Foster Competition

To the best of our knowledge, RC is the only source that can provide the items and/or services described herein. If at any point during the contract period, a potential offeror expresses interest in providing supplies and services for RC OEM systems, we will evaluate accordingly.

XII. Contracting Officers' Certification

The Contracting Officer's signature on the Justification Review Document evidences the determination that this document is both accurate and complete to the best of her knowledge and belief.

Technical/Requirements Personnel's Certification

As evidenced by their signatures on the Justification Review Document, the technical and/or requirements personnel have certified that any supporting data contained herein, which is their responsibility, is both accurate and complete.

Attachments:

- A. OEM Systems
- B. SPA
- C. Repair Screening Sheet

Attachment A

Rockwell Collins/OEM Systems

AN/ARC-182
AN/ARC-186
AN/ARC-190 / HF-190
AN/ARC-230 / HF121C
HF-900HF-9500
UGG III
MDM-HF Modems
HF-CPS
Multimedia Comm
Link 11 Data Terminal Sets
DF Systems
VP-116
AN/ARC-210
AN/ARN-147
AN/ARN-149
AN/ARN-153
MAGR
AE4
AM-7314/URN
AN/PSN-11
AN/PSN-11 (V) 1
GEM
GNP-10
CDU / CDNU
BSIU / PICU
Displays (Airlift and Tactical)
MPS/DTS
PLGR + 96
PLGR II
PLGR & GLS
VPLGR II
GRC-171B (V) 4 / C(V)4 / D(V)4
RDU-II
ADS Stingray
PCSG
PROPMAN 2000
CCS-2200
Traffic Alert and Collision Avoidance System (TCAS)
AN/ARC-220
AN/VRC-100
Integrated Processing Center (IPC)
Q9600 High Data Rate HF Modem

HF Messenger
GNLU-9X5M
TALON RT-810X
ATHS/ATHS II
MPE-S
FMR-200X
DLM-900 / CMU-900
SAT 906
SAT 2000
HFM PMO Briefcase-HFDG-300
Flyaway Kit
DLP
ETC-4000F
NAVSTRIKE
51RV DF
GRC-171B (V) 5 (V) S/D
Electronic Warfare Components

STRATEGIC PARTNERSHIP AGREEMENT AND CONCEPT OF OPERATIONS (SPA & CONOPS)

Between

**WARNER ROBINS AIR LOGISTICS
CENTER (WR-ALC)**

And

ROCKWELL COLLINS (RC)



**Rockwell
Collins**



STRATEGIC PARTNERSHIP AGREEMENT

AGREEMENT PARTIES

This Strategic Partnership Agreement (SPA) is entered into between the Warner Robins Air Logistics Center (WR-ALC), the Warner Robins Air Logistics Center Avionics Management Directorate (WR-ALC/LY), [both representing the United States Air Force (USAF)] and Rockwell Collins (RC), Cedar Rapids, Iowa. WR-ALC, WR-ALC/LY, and RC are hereinafter referred to collectively as “the Parties”.

BACKGROUND

The Warner Robins Air Logistics Center manages and repairs a wide range of current technology and legacy aviation and ground communications and navigation systems. These systems include a multitude of products and systems manufactured by Rockwell Collins as well as other Original Equipment Manufacturers (OEM). While WR-ALC is responsible for the acquisition and management of USAF and other government communications and navigation system spares including modifications, repair, and replacement, a significant portion of logistics support is provided by private industry. This dual and independent sourcing for repairs, repair parts, modification, and other logistics support functions has resulted in sub-optimized processes inhibiting availability and cost controls for communications and navigation systems for the Warfighter.

Due to significant opportunities to reduce communications/navigation system support costs and improve the operational availability of USAF weapon systems through pro-active and joint planning and resource sharing activities, the parties hereby agree to form an innovative and strategic partnership relationship to jointly identify, evaluate, and implement more affordable and effective long-term logistics support solutions for fielded and future comm/nav systems and equipment. This Strategic Partnering Agreement (SPA) and Concept of Operations (CONOPS) identifies the over-arching WR-ALC and RC relationship required to achieve this goal by aggressively and cooperatively sharing government and industry technical and managerial capabilities.

PURPOSE AND LIMITATIONS OF SPA

Purpose: This SPA has been developed by the Parties to identify the over-arching objectives of each Party, establish a framework and structure to achieve the goals identified herein, and broadly address the responsibilities of each member of the partnership. The purpose of this partnering relationship is to promote mutual and continuing communications, cooperation, support, and planning through sharing resources and capabilities to achieve greater efficiencies and effectiveness in managing USAF Communications and Navigation systems to meet mission requirements now and in the future.

Limitations: The Parties agree and acknowledge that their relationship is one of non-fiduciary independent contractors. This SPA is not intended by the Parties to constitute or create in a legal or contractual sense a partnership, joint venture, or formal business

organization of any kind, nor to create a sub-contract, or any other enforceable contractual relationship between RC and the USAF. Government and Rockwell Collins information shared as a consequence of meetings and discussions conducted under the auspices of this agreement will not create nor imply an unfair competitive advantage to Rockwell Collins nor any other Defense contractor in providing best value/best business case solution proposals to the United States Air Force.

OBJECTIVES OF THE PARTIES

WR-ALC Objectives: As the designated Single Manager for Common Avionics, WR-ALC's strategic objective is to identify and implement USAF acquisition, logistics, and program management techniques as necessary to provide the best possible depot level support to the war fighter while ensuring best long term value for the USAF. This objective includes optimized support solutions for both legacy and current technology communication and navigation systems currently supported by both government and industry. This objective directly supports WR-ALC's strategic objective as well as the statutory and regulatory provisions of Title 10, U.S. Codes such as 2464, 2208(j), 2469, 2474, 2563, 2667 associated with the responsibilities to maintain sufficient core competencies in logistics management, engineering, supply chain management, and depot level maintenance to ensure efficient and cost-effective sustainment of all assigned weapon systems now and in the future.

The focus of weapon system and support program directorates resident at WR-ALC is to provide the war fighting and support commands with superior combat capability through prudent program costs, schedules, and performance tradeoffs. "Best Value" decisions for the USAF balance the objectives of the system program directorates and WR-ALC with broader USAF imperatives—such as the core competencies necessary for weapon system support.

RC-CAS Objectives: As an Original Equipment Manufacturer (OEM) of a wide range of aviation and ground communication and navigation systems in use today or entering DOD inventories, RC will play an important role in assisting WR-ALC in achieving their respective objectives. Consequently, RC's primary objective is to provide WR-ALC with the necessary resources and capabilities to ensure that current and future communication and navigation systems provide WR-ALC customers with superior and reliable combat capability at minimum life cycle cost.

This performance, in turn, will allow RC to meet or exceed contractual obligations and thereby earn a reasonable profit and return for its shareholders. By providing superior logistics planning and services, RC will establish a positive reputation with WR-ALC, other DOD Depots, and the USAF, thus earning maximum performance incentives, and enhance its reputation as a leading provider of high quality ground and aviation communications/navigation systems and logistics support services for DoD Weapon Systems.

WR-ALC/LY Objectives: [The Warner Robins Air Logistics Center, Avionics Management Directorate will serve as the lead Directorate for implementing and maturing this SPA.](#) The primary LY objective is to resource the material and services necessary to maximize the productivity of organic and industry depot level logistics activities while optimizing the availability of communications/navigation systems and components for the war fighter and support commands. As such, LY will serve a key role in identifying resource requirements, evaluating evolutionary resource sharing partnership concepts and arrangements, and

strategically assessing current and future depot level comm/nav technology and capability requirements.

Common Objectives: The Parties' intent is that through this SPA and other agreements as may be subsequently negotiated, the Parties will successfully integrate their efforts to continuously improve total systems support of WR-ALC managed weapon and support systems. Notwithstanding the unique roles and responsibilities of each independent Party, the Parties collectively agree that their over-arching mutual objectives are to provide:

- (a) superior support to the Warfighter;
- (b) best value to the USAF (balancing both program and broader AF objectives);
- (c) the mechanisms necessary to sustain the USAFs Core Logistics Competencies requirements;
- (d) support to future Core and Source of Repair Assignment Process (SORAP) analyses and decision making to enable the USAF to balance objectives of this agreement with broader USAF imperatives, such as the maintenance of core competencies;
- (e) for the creation of an Integrated Digital Environment (IDE) as a key enabler in achieving the communication, coordination, insight, and responsiveness objectives outlined in this document;
- (f) the ability of RC to achieve reasonable profits and enhance its corporate reputation through demonstrated performance in the achievement of these objectives;
- (g) minimize the administrative burden associated with contracting actions for RC products and services and to maximize the availability of USAF weapon and support systems;
- (h) develop and implement new and lower cost methods to establish and sustain organic depot repair technologies and capabilities;
- (i) jointly and strategically assess current and future DOD comm/nav requirements and technologies to pre-plan effective and efficient sustainment activities;
- (j) harmonize activities generated by this SPA with existing and future DOD, USAF, AFMC logistics initiatives including but not limited to:
 - (1) USAF Spares Campaign
 - (2) logistics transformation
 - (3) depot maintenance review teams
 - (4) enterprise management
 - (5) JV 2010/2020
- (k) jointly and objectively evaluate evolutionary approaches to minimize life cycle sustainment costs for comm/nav systems and components to include:
 - (1) Performance Based Logistics (PBL) (In consonance with DUSD/AT&L memo, 13 Feb 02)

- (2) lease to buy support/test equipment
 - (3) dual/Government manufacturing licensing
 - (4) warranty repairs by USAF depot(s)
 - (5) USAF depot sub-contracts
 - (6) supplier vs product/item management
 - (7) intellectual property sharing
 - (8) joint/shared manufacturing and/or repair facilities
 - (9) Public Private Partnerships
 - (10) commercial/government Lean Electronics best practices, education, and sharing
 - (11) supply chain management (SCM)
- (l) sustain and strengthen inherently governmental responsibilities/functions including:
- (1) program/item management
 - (2) engineering
 - (3) requirements determination
 - (4) resource allocation priorities
 - (5) budget programming
- (m) maximize the utility and functionality of existing depot level resources and capabilities including:
- (1) test equipment
 - (2) local manufacturing
- (n) full recognition of and support to federal socio-economic goals and objectives consistent with best value solutions for the Warfighters.

CONCEPT OF OPERATIONS

Recognizing the requirement for periodic and recurring communications for effective support of fielded and future USAF comm/nav products and systems, a SPA execution and management team will be established to further define the management processes necessary to maximize the value of this agreement and achieve the objectives outlined herein. Initially, a designated representative from WR-ALC Plans and Programs, Contracting, Avionics, and Maintenance Directorates, along with designated representative(s) from RC will evaluate the goals and objectives identified to further define organizational roles and responsibilities. USAF acquisition organizations beyond WR-ALC such as the Electronic Systems Center (ESC), Aeronautical Systems Centers (ASC), as well as the Aging Avionics Division of the Aging Aircraft System Program Office (SPO), may also be considered for participation in this Strategic Partnering Agreement. This initial cadre of personnel will develop and recommend an achievable and sustainable structure to assess and meet current and future USAF comm/nav logistics support requirements to maximize Warfighter support and equipment service lives while minimizing life cycle support costs. While the approach to mature this SPA will be the responsibility of the initial cadre of personnel identified, a notional approach to initially address and evaluate the current and future health as well as requirements for comm/nav depot level support executing this relationship is provided below for consideration.

FOCUS AREAS

Recognizing the responsibility to identify and prioritize requirements generated by, or associated with, this SPA rests with WR-ALC, the following areas may warrant consideration and evaluation while structuring and maturing this SPA for success. A brief rationale is provided for each focus area identified.

- (a) **Corporate Contract:** Due to the current manpower intensive and long lead-time processes associated with contracting for Rockwell Collins products and services based on product or service specific requirements, development of a broad scope, long term, forward priced, IDIQ type, Corporate Contract with RC for sole source products and services is under development.
- (b) **WR-ALC Supported RC Legacy Products:** Currently, the RC products identified below are organically repaired and supported by WR-ALC/MAI. Many of these products are reaching or have exceeded their affordable service lives and warrant evaluation for Reliability & Maintainability (R&M) enhancements to extend their service lives via more affordable and technologically advanced components and/or repair procedures. Even modest Shop Replacement Unit (SRU) upgrades or modifications could result in significant repair cost savings.

AN/ARC-186
AN/ARC-147
ARC-190

AN/ARC-171
GRC-171
MAGR

- (c) **RC Supported Legacy Products:** Similarly, RC currently provides repairs and logistics support for a wide range of fielded comm/nav systems as identified below. These items are supported through a wide assortment of repair constructs and contracts ranging from warranty to Performance Based Logistics. Equally, many of these systems are becoming more difficult and expensive to support with time. In many cases, no requirements currently exist to collectively assess the ability of RC to continue to provide logistics support in the out-years consistent with AFMC and WR-ALC budgets. Additionally, many of these systems are supported by way of manpower and resource intensive processes employing performance metrics of little or no value to WR-ALC customers. A similar strategic evaluation of these systems, in view of those identified in (b) above may be productive.

AN/ARC-230
ARC-210
Data Link
JTIDS
Link 11/16
AN/ARC-220

AN/ARC-153
Displays/CDU's
GEM
MIDS
PLGR

- (d) **Non WR-ALC Acquisition Agencies & Contracts:** Currently due to contracts with RC issued by non WR-ALC entities for communications and navigation products and services, equipment enters the USAF inventory absent depot level involvement and planning for optimum depot level management and support for maximum Warfighter support and minimum life cycle support costs. Involvement and engagement of acquisition activities, including WR resident system program offices, and depot planning requirements and capabilities could prove very productive. Current RC products available to USAF organizations absent depot level planning and involvement include Global Air Traffic Management (GATM) products and Pacer Crag warranty items.

PARTNERSHIP DOCUMENTATION

Strategic Partnership Agreement (SPA): This SPA provides the general intent and objectives of each Party. The SPA also provides the general framework for all subsequent implementing documents necessary to augment and mature the relationships anticipated between the USAF and Rockwell Collins. The SPA will be reviewed and updated as necessary, but not less than annually, to maintain currency and harmonization with DoD, USAF, AFMC, and WR-ALC logistics initiatives and policies to ensure it continues to meet the needs of the Parties.

DEPOT MAINTENANCE SUPPORT

Core Logistics Competencies: “Core Logistics Competencies” are defined as the logistics competencies required by Title 10 U.S.C. 2464—as identified at the HQ AFMC Biennial Core Assessment and as contained in the Secretary of the Air Force’s Biennial Core Assessment Report to Congress. RC will consider the USAF core logistics objectives along with other Title 10 U.S.C. code considerations when planning or recommending future resource sharing initiatives and actions regarding fielded and future comm/nav system support.

RC Responsibilities: The RC responsibilities are to continuously plan, price, prioritize and execute, in cooperation with and at the direction of WR-ALC and within available resources, activities as necessary to sustain the USAF core logistics objectives as defined by WR-ALC.

WR-ALC Responsibilities: WR-ALC responsibilities are to continuously plan, budget (in accordance with organic procedures), and execute, in cooperation with RC, activities as necessary to achieve the USAF core logistics objectives.

PARTNERSHIP REVIEW COMMITTEE

The Parties agree to establish a Partnership Review Committee (PRC) consisting of senior management representatives from each Party. This PRC will meet twice per year, or as required by consensual agreement, to review issues and opportunities associated with the various initiatives contemplated by the Comm/Nav Working Group (CNWG). RC will chair the PRC initial review. The CNWG will be initially chaired by a WR-ALC designee and consist of individuals appointed and approved by the PRC.

PUBLICITY AND NEWS RELEASES

Any news release, public announcement, advertisement, or publicity released by either Party concerning this Agreement, and/or other agreements referenced herein, will give due credit to the contribution of each Party and will be coordinated in advance with the other Parties to the maximum extent possible. Each Party will provide advance copies of these types of correspondence to the other Parties prior to public release or announcement.

COST, RISKS AND REMEDIES

The Parties hereby agree that each Party will bear all costs, risks and liabilities incurred by the Party, arising out of its performance of the terms of this Agreement. Neither Party shall have any right to any reimbursement, payment, or compensation of any kind from the other during the period this SPA is exercised.

CONCLUSION

Based on the mutually beneficial goals and objectives outlined herein, the intent of this SPA is for the parties identified to cooperatively and collectively work together to achieve their mutual objectives and an appropriate balance among their individual objectives on behalf of USAF Warfighters and US Taxpayers. Close and continuous communications, openly sharing pertinent information and proactive participation of all Parties are imperative for the Parties to achieve this goal. Therefore, this agreement represents the pledge of all Parties to perform their respective duties in a timely, open and good faith manner while appropriately considering the stated objectives of all Parties.

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement effective on this date: 16 December 2002.

//SIGNED\\

Major General Donald J. Wetekam
Commander
Warner Robins Air Logistics Center

//SIGNED\\

Mr. Gregory Churchill
Vice President, General Manager
Rockwell Collins, Government Systems

//SIGNED\\

Mr. Harry Gregory
Vice President, General Manager
Rockwell Collins, Aviation Services

Attachment C

JUSTIFICATION FOR SOLE SOURCE REPAIR SCREENING SHEET Rockwell Collins Partnership Corporate Contract

Item Description:

NSN

P/N

Noun

Estimated Value of Repair: \$ _____ (Includes forecast quantity and estimated price for entire life of contract coverage)

Market Research: Research of repair source reveals:

AFMC 761 AMC: _____

Sources from Procurement History: _____

D043 Master Item Identification Control System CAGE code: _____

Execution and Prioritization of Repair Support System (EXPRESS) Control number or 206 assigned: Yes _____ No _____

Subject NSN is/is not organically repaired and does/does not present capacity problems. If an NSN is organically repaired but there are capacity problems hampering support of the warfighter, the appropriate system IPT will determine if the item should become dual-sourced

Validation of Sole Source Classification: The repair items identified herein have been determined to be sole source to _____. The end item repair data is not available. None of these items have established qualification procedures. _____ is the only source qualified to repair the items. In the event an additional source becomes qualified to repair an item covered under this contract as a result of reverse engineering or by purchase of the data from _____, the source shall be identified with proper documentation showing source approval and the item will be deleted from the contract.

CERTIFICATION: I hereby certify that the above information is complete and accurate.

(Name)

Program Manager

Date

(Name)

* Contracting Officer

Date

** Competition Advocate

Date

This document requires approval by:

* Contracting Officer (up to \$500K)

** Competition Advocate (Over \$500K)